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PATENT  
Attorney Docket No. P4481US1  
PMB  
10-17-0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: CUSHING, JAMES K. et al. ART UNIT: TBA  
SERIAL NO: TBA  
FILED: March 28, 2001  
TITLE: SYSTEM AND METHOD FOR DESIGNING GROUP RETIREMENT PLANS



INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Assistant Commissioner:

Attached is Form PTO-1449 listing the relevant art known to the applicant herein. Copies of the listed references are enclosed. The Examiner is requested to consider the references and make them of record.

Respectfully submitted,

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- cab -

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this document has been deposited with the U.S. Postal Service via "Post Office to Addressee" Express Mail service in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C., 20231, Box: PATENT APPLICATIONS, prior to 5:00 p.m. on the date shown below.

March 28, 2001  
Date

Cathy Barnett  
Cathy Barnett  
Express Mail Label #EL688892363US

(Information Disclosure Statement - Section 2. FORM PTO - 1449 (Modified))  
Sheet 1 of 1

Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR 1.98(b))	ATTY. DOCKET NO. P04481US1	SERIAL NO. TBA
	APPLICANT CUSHING, et al.	
	FILING DATE March 28, 2001	GROUP TBA

jc979 U.S. PTO  
09/819318  
03/28/01

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,092,047	07/18/00	HYMAN ET AL.	705	4	10/07/97
	6,085,174	07/04/00	EDELMAN	705	36	09/23/97
	6,021,397	02/01/00	JONES ET AL.	705	36	12/02/97
	6,014,642	01/11/00	EL-KADI ET AL.	705	36	05/06/96
	5,991,744	11/23/99	DICRESCE	705	36	10/31/97
	5,819,263	10/06/98	BROMLEY ET AL.	707	3	07/19/96
	5,671,363	09/23/97	CRISTOFICH ET AL.	395	237	06/07/95
	5,523,942	06/04/96	TYLER ET AL.	364	401	03/31/94
	4,994,964	02/19/91	WOLFBERG ET AL.	364	408	04/16/87

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLI- CATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date\*\*, Relevant Pages, Place of Publication\*\*\*)


EXAMINER	DATE CONSIDERED
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.